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# Directors' and Officers' Liability Insurance Application

The Nonprofit D&O Liability coverage provided here is written on a claims made basis. Except as otherwise provided, this policy will cover only claims first made against the insured during this policy period.

Insurance Contact: \_\_\_\_\_

Organization: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip Code: \_\_\_\_\_

Phone: (\_\_\_\_) \_\_\_\_\_ Fax: (\_\_\_\_) \_\_\_\_\_ Date of Incorporation: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Email: \_\_\_\_\_  I wish to receive periodic email updates regarding the nonprofit program.

Nature of operations:  501(c)3 or  501(c)6  Other: \_\_\_\_\_  
(Please complete or forward your organization's mission statement.)

## OPERATIONS

1. Does your organization have a negative fund balance? .....  Yes  No  
(If YES, please forward your organization's most recent 12 month financial audit or IRS 990 tax form.)
2. Please indicate your organization's Total Gross Annual Revenue\* (most current FYE): \$ \_\_\_\_\_  
(\* If greater than \$1,000,000, please forward your organization's most recent 12 month financial audit or IRS 990 tax form.)
3. Expiration Date of current D&O policy (if applicable): \_\_\_\_ / \_\_\_\_ / \_\_\_\_ Current Premium: \_\_\_\_\_ Current Limits: \_\_\_\_\_
4. Is coverage requested to include any Subsidiary? .....  Yes  No Or Affiliate? ...  Yes  No
5. Is your organization involved in any standard setting, accreditation, certification or peer review activities? .....  Yes  No  
(If YES, please attach details.)
6. Is your organization involved in any labor negotiations or collective bargaining? (If YES, please attach details.) .....  Yes  No
7. Does your organization sell or administer any insurance product (other than those designed solely for the organization's employees)? (If YES, please attach details.) .....  Yes  No

## EMPLOYMENT INFORMATION

8. Total number of employees: \_\_\_\_\_
9. How many employees have been terminated in the last year? \_\_\_\_\_ voluntarily \_\_\_\_\_ involuntarily
10. Does the applicant have formal written procedures for hiring and firing employees? .....  Yes  No

## CLAIMS HISTORY

11. Within the last three years, has the applicant, its directors, officers and/or any other proposed INSURED person received any complaint, suit, inquiry or notice of hearing from any state or federal legislative committee, regulatory body, or any other party? ...  Yes  No

## PRIOR KNOWLEDGE

12. Is any potential INSURED aware of any circumstance(s) or action(s) which could result in a future claim against any potential INSURED? .....  Yes  No  
If YES, please provide a detailed explanation: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

(IT IS UNDERSTOOD AND AGREED THAT THERE WILL BE NO COVERAGE FOR ANY CLAIM WHICH IS RELATED TO OR ARISES OUT OF THE MATTER WHICH IS SET FORTH OR SHOULD HAVE BEEN SET FORTH IN THE ANSWER TO QUESTION #12)

## DECLARATION AND SIGNATURE

PLEASE SIGN AND DATE THIS APPLICATION. Fax it or enclose it in the envelope provided and mail. Once your application and any requested supporting information has been reviewed and approved, you will receive a quotation. Any person who, knowingly and with intent defrauds any insurance company or other person, files an application for insurance containing any false information, or conceals for the purpose of misleading, circumstances concerning any fact material thereto, commits a fraudulent insurance act, which is a crime. The undersigned declares that to the best of his or her knowledge and belief the statements set forth herein are true. Although the signing of this application does not bind the undersigned on behalf of the Organization or its directors, officers or other Insured Persons to effect insurance, the undersigned agrees that this application and its attachments shall be the basis of the contract should a policy be issued and shall be attached to and form part of the policy. The insurance company is hereby authorized to make any investigation and inquiry in connection with this application that it deems necessary.

Signed  \_\_\_\_\_ Date \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Print Name: \_\_\_\_\_ Title: \_\_\_\_\_  
(Executive Director, President or Chairperson of the Board)

**FMC & CAMPBELL RISK MANAGEMENT'S NONPROFIT D&O PROGRAM**  
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